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1 **Economic evaluation of a healthy lifestyle intervention for chronic low**
2 **back pain: a randomised controlled trial**

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48

49 **Conflict of interest:**

50 None to declare.

51

52 **Significance:** To our knowledge this is the first economic evaluation of a randomised
53 controlled trial of a healthy lifestyle intervention for chronic low back pain. The findings suggest
54 that a healthy lifestyle intervention may be cost-effective relative to usual care.

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58

59 **Abstract**

60 **Background:** Economic evaluations which estimate cost-effectiveness of potential
61 treatments can guide decisions about real world healthcare services. We performed an
62 economic evaluation of a healthy lifestyle intervention targeting weight loss, physical activity
63 and diet for patients with chronic low back pain, who are overweight or obese.

64 **Methods:** Eligible patients with chronic low back pain (n=160) were randomised to an
65 intervention or usual care control group. The intervention included brief advice, a clinical
66 consultation and referral to a 6-month telephone-based healthy lifestyle coaching service.
67 The primary outcome was quality-adjusted life years (QALYs). Secondary outcomes were
68 pain intensity, disability, weight, and body mass index. Costs included intervention costs,
69 healthcare utilisation costs and work absenteeism costs. An economic analysis was
70 performed from the societal perspective.

71 **Results:** Mean total costs were lower in the intervention group than the control group (-
72 \$614; 95%CI: -3133 to 255). The intervention group had significantly lower healthcare costs
73 (-\$292; 95%CI: -872 to -33), medication costs (-\$30; 95%CI: -65 to -4) and absenteeism
74 costs (-\$1000; 95%CI: -3573 to -210). For all outcomes, the intervention was on average
75 less expensive and more effective than usual care, and the probability of the intervention
76 being cost-effective compared to usual care was relatively high (i.e. 0.81) at a willingness-to-
77 pay of \$0/unit of effect. However, the probability of cost-effectiveness was not as favourable
78 among sensitivity analyses.

79 **Conclusions:** The healthy lifestyle intervention seems to be cost-effective from the societal
80 perspective. However, variability in the sensitivity analyses indicates caution is needed when
81 interpreting these findings.

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94 Introduction

95 Low back pain places a substantial burden on society. Globally, low back pain is ranked first in
96 terms of disability burden, and sixth in overall disease burden.(Vos et al., 2016) Low back pain
97 is also very costly, total annual costs are estimated at \$9.2 billion in Australia,(Walker et al.,
98 2003) and £11 billion in the United Kingdom,(Maniadakis and Gray, 2000) with the largest
99 proportion of these costs attributed to healthcare service use and lost work
100 productivity.(Dagenais et al., 2008) Given the economic burden of low back pain, undertaking
101 economic evaluations of low back pain management approaches is important.

102 Systematic reviews show that the development and persistence of low back pain is linked to
103 'lifestyle risk factors', such as overweight and obesity.(Shiri et al., 2010) Interventions targeting
104 lifestyle changes including weight loss, increasing physical activity and improving diet, present a
105 novel and promising strategy to improve outcomes (e.g. pain or disability) for patients with low
106 back pain. In response to a lack of research in this area,(Linton and van Tulder, 2001; Wai et
107 al., 2008) we conducted the first randomised controlled trial (RCT) of a healthy lifestyle
108 intervention for patients with chronic low back pain who are overweight or obese.(Williams et al.,
109 2018) The intervention involved brief telephone advice, a clinical consultation and referral to a 6-
110 month telephone-based healthy lifestyle coaching service. The primary goal of the intervention
111 was to reduce pain intensity, by reducing weight and improving physical activity and diet
112 behaviours. The trial showed no between group differences in any outcome reported including
113 pain intensity and weight. Despite the absence of clinical benefit, conducting a cost-
114 effectiveness analysis is recommended because cost-effectiveness analyses estimate the
115 probability that an intervention is cost-effective, rather than testing a hypothesis regarding cost-
116 effectiveness.(Petrou and Gray, 2011) This means the analysis considers the joint distribution of
117 differences in cost and effect, and can show that an intervention is cost-effective when neither
118 cost nor effect differences are individually significant.(Petrou and Gray, 2011) Such estimates
119 can assist decision makers in prioritising interventions to determine how to best allocate limited
120 funds. The purpose of the current study is to undertake an economic evaluation of the healthy
121 lifestyle intervention, compared with usual care.

122 Economic analyses can be performed from various perspectives including the societal, and
123 healthcare perspectives.(Drummond et al., 2005) The societal perspective includes all costs
124 regardless of who pays. This frequently incorporates direct costs; intervention costs, plus costs
125 of care unrelated to the intervention (i.e. healthcare services and medication costs), and the
126 indirect costs; absence from work and impact on productivity.(Drummond et al., 2005; Polimeni
127 et al., 2013) In contrast, the healthcare perspective only includes direct costs i.e. intervention
128 costs and the costs of other care.(Drummond et al., 2005) In this study the primary analysis was

129 conducted from a societal perspective and a secondary analysis was conducted from the
130 healthcare perspective.

131 **Methods**

132 **Design**

133 We performed an economic evaluation alongside a two-arm pragmatic parallel group RCT,
134 which was part of a cohort multiple RCT.(Relton et al., 2010) The study design is described in
135 detail elsewhere.(Williams et al., 2016, 2018) The trial was prospectively registered with the
136 Australian New Zealand Clinical Trials Registry (ACTRN12615000478516). Ethical approval
137 was obtained from the Hunter New England Human Research Ethics Committee (approval No.
138 13/12/11/5.18) and the University of Newcastle Human Research Ethics Committee (approval
139 No. H-2015-0043).

140 **Participants**

141 We invited all patients with chronic low back pain who were on a waiting list for outpatient
142 orthopaedic consultation at the John Hunter Hospital, New South Wales (NSW), Australia, to
143 participate in a cohort study involving telephone assessments. All patients in the cohort were
144 informed that regular surveys were being conducted as part of hospital audit processes and
145 to track patient health while waiting for consultation. During one of the telephone
146 assessments, participants of the cohort study were assessed for eligibility for the RCT.
147 Eligible consenting patients were then randomised to study conditions: i) offered the
148 intervention (intervention group), or ii) remained in the cohort follow-up (usual care control
149 group). Due to the design of the study (i.e. cohort multiple RCT)(Relton et al., 2010)
150 participants were not aware of alternate study conditions. Participants from either group
151 remained on the waiting list for orthopaedic specialist consultation and could attend a
152 consultation during the study period if scheduled. Participants were also free to access care
153 outside the study as they saw fit.

154 Participant inclusion criteria for the RCT were: primary complaint of chronic low back pain
155 defined as: pain between the 12th rib and buttock crease with or without leg pain for longer
156 than 3 months;(Airaksinen et al., 2006) average low back pain intensity ≥ 3 out of 10 on a 0-
157 10 numerical rating scale (NRS) over the past week, or moderate level of interference to
158 activities of daily living (adaptation of item 8 on SF-36); 18 years or older; overweight or
159 obese (body mass index (BMI) $\geq 27\text{kg/m}^2$ and $< 40\text{kg/m}^2$) based on self-reported weight and
160 height; and access to a telephone. Exclusion criteria were: known or suspected serious
161 pathology as the cause of back pain, as diagnosed by their general practitioner (e.g.

162 fracture, cancer, infection, inflammatory arthritis, cauda equina syndrome); previous obesity
163 surgery; currently participating in any prescribed, medically supervised or commercial weight
164 loss program; back surgery in the last 6 months or booked for surgery in the next 6 months;
165 unable to comply with the study protocol that required adaption of meals or exercise due to
166 non-independent living arrangements; any medical or physical impairment precluding safe
167 participation in exercise, such as uncontrolled hypertension; unable to speak and read
168 English sufficiently to complete the study procedures.

169

170 **Intervention**

171 Participants randomised to the intervention group were offered an intervention involving brief
172 telephone advice, a clinical consultation with a physiotherapist, and referral to a 6-month
173 telephone-based health coaching service (Supplementary Table 1).

174 Immediately after baseline assessment and randomisation, trained telephone interviewers
175 provided the brief telephone advice. This advice included information that a broad range of
176 factors, including lifestyle risk factors contribute to the experience of low back pain, and
177 description of the potential benefits of weight loss and physical activity for reducing low back
178 pain.

179 The clinical consultation was a face-to-face consultation (up to one hour) conducted in a
180 community health centre with the study physiotherapist, who was not involved in data
181 collection. As detailed in our protocol,(Williams et al., 2016) the consultation was informed
182 by Self Determination Theory and involved two broad approaches; (i) clinical assessment
183 followed by low back pain education and advice, and (ii) behaviour change
184 techniques.(Abraham and Michie, 2008)

185 The telephone-based health coaching service was the NSW Get Healthy Information and
186 Coaching Service (GHS).(O'Hara et al., 2012) The service involves 10 individually tailored
187 coaching calls, based on national Healthy Eating and Physical Activity guidelines,(Brown et al.,
188 2012; National Health and Medical Research Council (NHMRC), 2013) delivered over 6 months
189 by qualified health professionals.(O'Hara et al., 2012) The GHS is a telephone-based service to
190 support individuals to modify eating behaviours, increase physical activity, achieve and maintain
191 a healthy weight, and where appropriate includes referral to smoking cessation services.

192 **Control**

193 Participants randomised to the control group remained on the waiting list for orthopaedic
194 consultation (usual care) and took part in data collection during the study period. No
195 restrictions were placed upon their use of other health services during the study period.
196 Control participants were not aware of the intervention group but were told they would be
197 scheduled a clinical appointment for their back pain in 6 months (i.e. 26 weeks post
198 baseline).

199 **Measures**

200 The primary outcome for this economic evaluation was quality-adjusted life years (QALYs).
201 Secondary outcomes included pain intensity, disability, weight and BMI. We measured costs in
202 terms of intervention costs, healthcare utilisation costs (healthcare service and medication use)
203 and absenteeism costs due to low back pain. For the primary analysis conducted from the
204 societal perspective, all of these cost categories were included. For the secondary analysis
205 conducted from the healthcare perspective, absenteeism costs were excluded.

206 *Outcomes*

207 Health-related quality of life was assessed at baseline, 6 and 26 weeks using the 12-item Short
208 Form Health Survey version 2 (SF-12.v2).(Ware et al., 2002) The patients' SF-6D health states
209 were translated into utility scores using the British tariff.(Brazier et al., 2002) QALYs were
210 calculated by multiplying patients' utility scores by their time spent in a health state using linear
211 interpolation between measurement points. Back pain intensity was assessed at baseline, 6 and
212 26 weeks using a 0-10 point NRS. Participants were asked to report the "average pain intensity
213 experienced in their back over the past week", where 0 was 'no pain' and 10 was the 'worst
214 possible pain'.(Von Korff et al., 1992) Disability was assessed at baseline, 6 and 26 weeks
215 using the Roland Morris Disability Questionnaire (RMDQ).(Roland and Morris, 1983) The
216 RMDQ score ranges from 0 to 24, with higher scores indicating higher disability levels. Self-
217 reported weight (kg) was assessed at baseline, 6 and 26 weeks. BMI was calculated as weight /
218 height squared (kg/m²)(National Heart, Lung, and Blood Institute & North American Association
219 for the Study of Obesity, 2000) using self-reported weight at baseline, 6 and 26 weeks and self-
220 reported height from baseline.

221 *Cost measures*

222 All costs were converted to Australian dollars 2016 using consumer price indices.(Reserve Bank
223 of Australia, 2015) Discounting of costs was not necessary due to the 26-week follow-
224 up.(Drummond et al., 2005)

225 Intervention costs were micro-costed and included the cost to provide the brief advice,
226 estimated from the development and operational costs of the call and the interviewer wages for

227 the estimated average time (5 minutes) taken to provide the brief advice. Intervention costs also
228 included the cost of a one hour clinical physiotherapy appointment, valued using Australian
229 standard costs.(Australian Medical Association, 2016) Lastly, intervention costs included the
230 cost to provide a health coaching call from the GHS multiplied by the number of calls each
231 patient received.(Scandol et al., 2012) The number of health coaching calls received was
232 reported directly by the GHS.

233 Healthcare utilisation costs included any healthcare services or medication used for low back
234 pain (other than intervention costs). Healthcare utilisation costs were calculated from a patient
235 reported healthcare utilisation inventory. Participants were asked to recall any health services
236 (the type of services and number of sessions) and medications for their low back pain during the
237 past 6 weeks, at 6 and 26 weeks follow-up. Healthcare services were valued using Australian
238 standard costs and, if unavailable, prices according to professional organisations.(Australian
239 Government Department of Health, 2016a; Australian Medical Association, 2016; NSW Health,
240 2011) Medication use was valued using unit prices of the Australian Pharmaceutical Benefits
241 Scheme (PBS)(Australian Government Department of Health, 2016b) and, if unavailable, prices
242 were obtained from Australian online pharmacy websites. The average of the week 6 and week
243 26 costs per patient was extrapolated, assuming linearity, to estimate the cost over the entire
244 26-week period.

245 Absenteeism was assessed by asking employed patients to report the total number of sickness
246 absence days due to low back pain during the past 6 weeks, at 6 and 26-week follow up.
247 Absenteeism costs were estimated using the Human Capital Approach (HCA),(Drummond et
248 al., 2005) calculated per patient by multiplying their total number of days off by the national
249 average hourly income for their gender and age according to the Australian Bureau of
250 Statistics.(Reserve Bank of Australia, 2015) Absenteeism costs were extrapolated using the
251 same method as described above for healthcare utilisation.

252 **Statistical analysis**

253 All outcomes and cost measures were analysed under the intention-to-treat principle (i.e.
254 analyses were based on initial group assignment and missing data were imputed). Means and
255 proportions of baseline characteristics were compared between the intervention and control
256 group participants to assess comparability of the groups. Missing data for all outcomes and cost
257 measures were imputed using multiple imputation by chained equations (MICE), stratified by
258 treatment group.(White et al., 2011) Data were assumed missing at random (MAR). Ten
259 complete datasets needed to be created in order for the loss-of-efficiency to be below the
260 recommended 5%.(White et al., 2011) We analysed each of the 10 imputed datasets separately
261 as specified below. Following this, pooled estimates from all imputed datasets were calculated

262 using Rubin's rules, incorporating both within-imputation variability (i.e., uncertainty about the
263 results from one imputed data set) and between-imputation variability (i.e. uncertainty due to
264 missing information).(White et al., 2011)

265 We calculated unadjusted mean costs and cost differences between groups for total and
266 disaggregated costs (intervention costs, healthcare utilisation costs (healthcare services,
267 medications used) and absenteeism costs). Seemingly unrelated regression (SUR) analyses
268 were performed to estimate total cost differences (ΔC) and effect differences for all outcomes
269 (ΔE), adjusted for the baseline value of the relevant outcome and potential prognostic factors
270 (baseline pain intensity, time since onset of pain, waiting time for orthopaedic consultation and
271 baseline BMI). An advantage of SUR is that two regression equations (one for ΔC and one ΔE)
272 are modelled simultaneously so that the possible correlation between cost and outcome
273 differences can be accounted for.(Willan et al., 2004)

274 We calculated incremental cost-effectiveness ratios (ICERs) for all outcomes by dividing the
275 difference in total costs by the difference in outcomes ($\Delta C/\Delta E$). Uncertainty surrounding the
276 ICERs and 95% confidence intervals (95% CIs) around cost differences were estimated using
277 bias corrected and accelerated bootstrapping (5000 replications). Uncertainty of the ICERs were
278 graphically illustrated by plotting bootstrapped incremental cost-effect pairs on cost-
279 effectiveness planes.(Drummond et al., 2005) We produced a summary measure of the joint
280 uncertainty of costs and outcomes (i.e. cost-effectiveness acceptability curves [CEACs]) for all
281 outcomes. CEACs express the probability of the intervention being cost-effective in comparison
282 with usual care at different values of willingness-to-pay (i.e. the maximum amount of money
283 decision-makers are willing to pay per unit of effect).(Drummond et al., 2005) Data were
284 analysed in STATA (v13, Stata Corp).

285 *Sensitivity analyses*

286 We tested the robustness of the primary analysis, through two sensitivity analyses. First, an
287 analysis was performed excluding one patient with very high absenteeism costs (absenteeism
288 costs > \$15,000) (SA1). A second sensitivity analysis involved exclusion of intervention
289 participants who did not have reasonable adherence, defined as not attending the clinical
290 consultation and receiving less than 6 GHS health coaching calls (SA2).

291 *Secondary analysis*

292 A secondary analysis was performed from the healthcare perspective (i.e. excluding
293 absenteeism costs).

294 **Results**

295 **Participants**

296 One hundred and sixty patients were randomised into the study (Fig 1). Participant
297 characteristics at baseline were similar between groups (Table 1). At 26 weeks, complete
298 outcome data were available for between 65%-75% of participants, depending on the outcome
299 measure, and 59% of participants had complete cost data at 26 weeks. Thus, 26%-35% of
300 effect measure data and 41% of cost data were imputed (Fig 1).

301 *Insert Fig 1*

302 **Outcomes**

303 No differences were found between the intervention and control group participants at 26 week
304 follow-up in QALYs (MD 0.02; 95%CI: -0.00 to 0.04), pain (MD -0.35; 95%CI: -1.33 to 0.64),
305 disability (MD -0.57; 95%CI: -10.41 to 9.27), weight (MD -2.04; 95%CI: -4.22 to 0.14) and BMI
306 (MD -0.67; 95%CI: -1.44 to 0.09) (Table 2).

307 **Resource use and costs**

308 Of the intervention group patients, 47% (n=37) attended the initial consultation provided by the
309 study physiotherapist and the average number of successful GHS calls was 5.1 (SD 4.5). The
310 mean intervention cost was \$708 (SEM 68) per patient. Over the 26 week follow-up intervention
311 group participants had significantly lower healthcare costs (-\$292; 95%CI: -872 to -33),
312 medication costs (-\$30; 95%CI: -65 to -4) and absenteeism costs (-\$1000; 95%CI: -3573 to -
313 210) than those of the control group (Table 3). From the societal perspective, the mean total
314 costs over the 26 week follow-up were lower in the intervention group than in the control group
315 (-\$614; 95%CI: -3133 to 255) (Table 3). From the healthcare perspective, the mean total costs
316 were higher in the intervention group than in the control group (\$386; 95%CI: -188 to 688)
317 (Table 2).

318 **Societal perspective: cost-utility**

319 The incremental cost-effectiveness ratios (ICER) for QALYs was -31,087 indicating that one
320 QALY gained was associated with a societal cost saving of \$31,087 (Table 2), with 77.2% of the
321 cost-effect pairs located in the south-east quadrant, demonstrating that the intervention was on
322 average less costly and more effective than usual care. The cost-effectiveness acceptability
323 curve (CEAC) for QALYs in Fig 2 (2a) indicates that the probability of the intervention being
324 cost-effective compared with usual care was 0.81 at a willingness-to-pay of \$0/QALY,
325 increasing to 0.90 at a willingness-to-pay of \$17,000, and reached a maximum of 0.96 at
326 \$67,000.

327 **Societal perspective: cost-effectiveness**

328 The ICER for pain intensity was 1,765, indicating that a one point decrease in pain intensity was
329 associated with a societal cost saving of \$1,765. ICERs in the same direction were found for
330 disability (\$1,087 per one point decrease on the Roland Morris scale), weight (\$302 per one
331 kilogram weight loss) and BMI (\$915 per one BMI point decrease) (Table 2). In all cases, the
332 majority of incremental cost-effect pairs were located in the southeast quadrant (Table 2, Fig 2
333 [1b-1e]), indicating that the intervention was on average less expensive and more effective than
334 usual care. CEACs for pain intensity, disability, weight, and BMI are presented in Fig 2 (2b-2e).

335 *Insert Fig 2*

336 For all of these outcomes, the probability of cost-effectiveness was 0.81 at a willingness-to-pay
337 of \$0/unit of effect. For pain intensity, the probability of cost-effectiveness reached a maximum
338 of 0.88 at a willingness-to-pay of \$1000/unit of effect and after this it gradually decreased to
339 0.76. For disability, the probability of cost-effectiveness decreased with increasing values of
340 willingness-to-pay. For weight and BMI, the probability of cost-effectiveness reached 0.90 at a
341 willingness-to-pay of \$1,000/unit of effect (i.e. -1kg or -1 unit of BMI), and remained above 0.90
342 irrespective of increasing values of willingness-to-pay.

343 **Societal perspective: sensitivity analyses**

344 The total cost difference between groups was -\$8 when we removed one outlier (absenteeism
345 costs > \$15,000) from the analysis (SA1), and -\$74 when we included only adherent
346 participants (SA2); compared to -\$614 in the primary analysis (Table 2).

347 For QALYs the probability of cost-effectiveness was 0.51 (SA1) and 0.54 (SA2) at a willingness-
348 to-pay of \$0/unit of effect. For SA1, the probability of cost-effectiveness increased to 0.90 at a
349 willingness-to-pay of \$47,000/QALY, and reached a maximum of 0.92 at a willingness-to-pay of
350 \$77,000/QALY. For SA2, the probability of cost-effectiveness increased to 0.90 at a willingness-
351 to-pay of \$72,000/QALY, and reached a maximum of 0.91 at a willingness-to-pay of
352 \$86,000/QALY. These values are higher than that of the primary analysis (i.e. a probability of
353 0.90 at a willingness-to-pay of \$17,000/QALY).

354 For pain intensity, the probability of cost-effectiveness was relatively low (i.e. <0.55) at a
355 willingness-to-pay of \$0/unit of effect, however, it did reach 0.90 at a willingness-to-pay of
356 \$3000/unit of effect in SA2. For disability, in contrast to the primary analysis, the probability of
357 cost-effectiveness remained relatively low (i.e. 0.50 to 0.70) in both sensitivity analyses,
358 regardless of willingness-to-pay. Conversely, for weight and BMI, similar to the primary analysis,
359 the probability of cost-effectiveness reached 0.80-0.90 in both sensitivity analyses.

360 **Healthcare perspective: cost-utility**

361 For QALYs the ICER was 19,036 indicating that one QALY gained was associated with a cost to
362 the healthcare system of \$19,036 (Table 2) and the probability of cost-effectiveness reached a
363 maximum of 0.90 at a willingness-to-pay of \$98,000/QALY.

364 **Healthcare perspective: cost-effectiveness**

365 For pain intensity, the ICER was -1,031, indicating that a one point decrease in pain was
366 associated with a cost of \$1,031. ICERs in the same direction were found for disability (\$440 per
367 one point decrease on the Roland Morris scale), weight (\$187 per one kilogram weight loss) and
368 BMI (\$566 per one BMI point decrease) (Table 2). The probability of cost-effectiveness for pain
369 intensity and disability did not reach 0.90 at any value of willingness-to-pay. For pain intensity
370 and disability, the probability of cost effectiveness reached a maximum of 0.77 at \$27,000/unit
371 of effect and 0.57 at \$8000/unit of effect, respectively. For weight and BMI, the probability of
372 cost-effectiveness was similar to the primary analysis reaching 0.90 at \$1000/unit of effect and
373 \$3000/unit of effect, respectively.

374 **Discussion**

375 **Key findings**

376 We conducted an economic analysis of a healthy lifestyle intervention involving brief telephone
377 advice, offer of a clinical consultation involving detailed education, and referral to a 6-month
378 telephone-based healthy lifestyle coaching service. Despite the absence of significant clinical
379 effects, the intervention was on average less expensive and more effective than usual care from
380 the societal perspective and was associated with relatively high probabilities of being cost-
381 effective compared with usual care. To illustrate, for QALYs, the intervention had a high
382 probability (0.81) of cost-effectiveness from the societal perspective at a willingness-to-pay of
383 \$0/unit of effect, and increased at higher willingness-to-pay thresholds. However, the probability
384 of cost-effectiveness was not as favourable among sensitivity analyses nor from the healthcare
385 perspective.

386 **Interpretation of findings**

387 Results of the cost-utility analysis from the societal perspective suggest that the intervention can
388 be considered cost-effective compared with usual care for QALYs. From a probability of cost-
389 effectiveness of 0.81 at a willingness-to-pay of \$0/QALY, the probability increased to 0.90 at a
390 willingness-to-pay of \$17,000/QALY and reached a maximum of 0.96 at \$67,000. The
391 intervention had a high probability (>0.93) of cost-effectiveness at the published Australian

392 (\$64,000/QALY) and UK willingness-to-pay thresholds (\$34,000-51,000/QALY).(Shiroiwa et al.,
393 2010)

394 Results of the cost-effectiveness analysis from the societal perspective for pain intensity,
395 disability, weight, and BMI appear favourable. However, because society's willingness-to-pay
396 per unit of effect gained has not been reported/determined for these outcomes, decisions
397 regarding cost-effectiveness would depend on the willingness-to-pay of decision-makers and
398 the probability of cost-effectiveness that they perceive acceptable. Nonetheless, for all of these
399 outcomes there were relatively high probabilities of cost-effectiveness (i.e. 0.81) at a
400 willingness-to-pay of \$0/unit of effect and for all outcomes excluding disability, the probability of
401 cost-effectiveness increased to 0.88 or 0.90 at a willingness-to-pay of \$1000/unit of effect.

402 The two sensitivity analyses indicate that the findings from the societal perspective should be
403 interpreted with caution for QALYs, pain intensity and disability. For QALYs, in contrast to the
404 primary analysis the results of SA2 (i.e. excluding patients without reasonable adherence), the
405 intervention may not be considered cost-effective. The probability of cost-effectiveness was
406 relatively low (<0.55) at a willingness-to-pay of \$0/QALY and only reached 0.90 at
407 \$72,000/QALY, which is above both the Australian and UK willingness-to-pay
408 thresholds.(Shiroiwa et al., 2010) For pain intensity in SA1 and for disability in both sensitivity
409 analyses, in contrast to the primary analysis the probability of cost-effectiveness was relatively
410 low (i.e. 0.50 to 0.70), regardless of willingness-to-pay.

411 We also undertook a secondary analysis from the healthcare perspective, this involved
412 considering intervention, healthcare utilisation and medication costs, but not absenteeism costs.
413 From the healthcare perspective, the intervention may be considered cost-effective for QALYs,
414 weight, and BMI depending on the probability of cost-effectiveness that decision-makers
415 perceive as acceptable. However, the intervention seems not to be cost-effective for pain
416 intensity or disability due to relatively low maximum probabilities of cost-effectiveness (i.e.
417 <0.77).

418 **Comparison with the literature**

419 This study is the first economic evaluation of a healthy lifestyle intervention for patients with
420 chronic low back pain. As such, direct comparisons to similar interventions are limited.
421 Nonetheless, similar to our findings, systematic reviews concluded that conservative
422 approaches appear to be cost-effective.(Andronis et al., 2017; Lin et al., 2011a, 2011b)
423 Specifically, one review found that GPs can increase the cost-effectiveness of their treatments
424 by offering additional services such as advice, education and exercise, or exercise and
425 behavioural counselling.(Lin et al., 2011a) Another review concluded that treatments such as

426 interdisciplinary rehabilitation, exercises, acupuncture, spinal manipulation or cognitive
427 behavioural therapy (CBT) appear to be cost-effective options for chronic low back pain.(Lin et
428 al., 2011b) A 2017 review agreed, reporting that combined exercise and psychological
429 treatments, provision of information and spinal manipulation/acupuncture are cost-
430 effective.(Andronis et al., 2017) New evidence for conservative interventions including CBT,
431 mindfulness-based stress reduction and motion-sensor biofeedback treatment also show a high
432 probability of being cost-effective.(Haines and Bowles, 2017; Herman et al., 2017; Taylor et al.,
433 2016) For decision makers, the challenge lies in deciding between the cost-effective
434 interventions on offer. This challenge is heightened since many studies show substantial
435 heterogeneity in the cost components captured and use various analytical
436 perspectives.(Andronis et al., 2017; van Dongen et al., 2016; Hernon et al., 2017; Lin et al.,
437 2011a, 2011b) There are calls for increased effort to standardise methods to facilitate the
438 decision making process.(van Dongen et al., 2016; Hernon et al., 2017) In this light, our study
439 utilises recommended contemporary methods of economic evaluation and provides
440 comprehensive data to guide decisions about healthcare for this patient group.

441 **Strengths**

442 A strength of this study is the pragmatic RCT design, meaning the study was completed under
443 'real world' conditions. The design is advantageous for decision-makers to use the study's
444 findings to guide decisions about real world healthcare services. Another strength of this study
445 is the use of contemporary methods for cost-effectiveness analyses including SUR and
446 bootstrapping. SUR was used to account for potential correlation between cost and effect data
447 and bootstrapping allowed for estimation of uncertainty around the right skewed cost-
448 effectiveness estimates.

449 **Limitations**

450 A limitation of this study is the amount of incomplete data. The amount of missing outcome
451 data varied between the effect measures however, was at least 25% in all cases. Cost data
452 was missing for 41% of participants after 26-weeks. These levels of missing data are
453 common in economic evaluations of interventions delivered in real-world settings.(Noble et
454 al., 2012) We used multiple imputation to account for the missing data, which is
455 recommended over complete case analyses, despite this, results from this study should be
456 treated with caution. A further limitation is that costs were based on participant recall. This
457 may have introduced recall bias, although the period over which participants were required
458 to report their resource use was reasonably short (6 weeks). This study was completed over
459 a relatively short follow-up period of 6 months. It is unknown whether the cost-effectiveness
460 estimates from this study would be similar over a longer follow-up period. Assessing the

461 cost-effectiveness of lifestyle interventions for chronic low back patients over the longer term
462 could possibly produce more meaningful insight. Alongside the planned specific intervention
463 components, there are many non-specific intervention factors (i.e. attention, provider
464 qualities) for which we do not know their impact on cost or effect outcomes. Although non-
465 specific effects are common to most pragmatically delivered interventions, caution should be
466 given to interpreting the results of this study solely as a result of the specific intervention
467 components. Lastly, the study did not include measures of presenteeism, i.e. reduced
468 productivity while at work. As presenteeism is a potentially significant cost of chronic low
469 back pain,(Dagenais et al., 2008) further research in this area should include such a
470 measure.(Prasad et al., 2004)

471

472 Directions for future research

473 We found that the intervention group had significantly lower absenteeism and healthcare
474 utilisation costs. Assessing the mechanisms driving these lower costs via mediation
475 analyses would provide valuable information to guide intervention improvement. As we have
476 discussed previously, our intervention included several pragmatically delivered components
477 and overall adherence to these components was low.(Williams et al., 2018) In SA2 where
478 only those with reasonable adherence were included in the analysis, in contrast to the
479 primary analysis, the intervention did not appear to be cost-effective for QALYs. Improved
480 intervention adherence (higher intervention costs) did not translate into improved cost (i.e.
481 less healthcare use) and effect outcomes (i.e. increased QALYs). From an economic
482 perspective, in future iterations of the lifestyle intervention efforts would be better directed at
483 improving patient benefit from what is adhered to rather than focusing solely on increasing
484 patient adherence.

485

486 **Implications for policy**

487 Our findings suggest that targeting lifestyle risk factors, as part of chronic low back pain
488 management, could result in cost savings from less time off work and reduced healthcare use.
489 Currently, clinical practice guidelines focus on reducing pain and disability, and lifestyle is
490 largely overlooked. Given the global economic burden of chronic low back pain, further
491 recognition of lifestyle as a priority in the treatment of chronic low back pain is warranted.
492 Despite this, inconsistencies among the sensitivity analyses results mean that this interpretation
493 should be treated with caution.

494 The decision to utilise this healthy lifestyle intervention on the basis of cost-effectiveness, would
495 depend on the priorities of the decision-maker. Such priorities may include the perspective they

496 are interested in (i.e. societal vs. healthcare). To illustrate, for this economic evaluation, analysis
497 from the societal perspective appeared more promising than from the healthcare perspective.
498 Additionally, decision makers would need to determine what they value as an outcome and what
499 they are willing to pay per unit of improvement. Currently, we only know how much society is
500 willing to pay per QALY gained, but this remains unclear for pain intensity, disability, weight, or
501 BMI. Moreover, decision makers would need to consider if they were interested in cost-
502 effectiveness alone or if clinical effectiveness should be considered concurrently and what value
503 is given to each analysis. Once a decision-maker determines what their priorities are, the
504 methodological limitations and variability found in the sensitivity analyses should be considered
505 in the decision to utilise this intervention. Nonetheless, considering the high prevalence of
506 chronic low back pain globally, and limited resources available to support such patients, this
507 study provides decision-makers with valuable information to guide decisions about the utility of
508 available interventions.

509 **Conclusions**

510 We conducted an economic evaluation of a healthy lifestyle intervention involving brief
511 telephone advice, offer of a clinical consultation involving detailed education, and referral to a 6-
512 month telephone-based healthy lifestyle coaching service for patients with chronic low back
513 pain, who are overweight or obese. The intervention seems to be cost-effective for QALYs from
514 the societal perspective but not from the healthcare perspective. Variability found in the
515 sensitivity analyses findings should be considered in the decision to utilise this intervention.

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519 **Author contributions:**

520 AW, SJK, KMO, LW, SLY, RKH, HL, RH, CR, JW and CMW were responsible for the
521 concept and design of the trial. CW and JW procured funding. AW, KMO, and CMW were
522 responsible for project management of the trial and AW, KMO and EKR were responsible for
523 data collection. For this report, AW, JMvD, SJK, KMO, and CMW designed and critically
524 reviewed the analysis plan and AW completed the data analysis. AW drafted the initial
525 manuscript, and all authors have contributed to the interpretation of the data for the work
526 and revision of the manuscript. All authors have read and approved the final manuscript.

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Figure legends

Fig 1. Progress of participants through the study

Fig 2. Cost-effectiveness planes indicating the uncertainty around the incremental cost-effectiveness ratios (1) and cost-effectiveness acceptability curves indicating the probability of the intervention being cost-effective at different values (\$AUD) of willingness-to-pay per unit of effect gained (2) for QALYs (a), pain (b), disability (c), weight (d) and BMI (e) (based on the imputed dataset).